

**INFORMATION DISCLOSURE REGULATIONS
DIEN MAY XANH INVESTMENT JOINT STOCK COMPANY**

Pursuant to:

- Law on Securities No. 54/2019/QH14 (National Assembly, dated November 26, 2019), as amended and supplemented from time to time (the "**Law on Securities**");
- Law on Enterprises No. 59/2020/QH14 (National Assembly, dated June 17, 2020), as amended and supplemented from time to time (the "**Law on Enterprises**");
- Decree No. 155/2020/ND-CP (Government, dated December 31, 2020) detailing the implementation of a number of articles of the Law on Securities, as amended and supplemented from time to time ("**Decree 155**");
- Decree No. 245/2025/ND-CP (Government, dated September 11, 2025) amending and supplementing a number of articles of the Government's Decree No. 155/2020/ND-CP dated December 31, 2020 detailing the implementation of a number of articles of the Law on Securities ("**Decree 245**");
- Circular No. 96/2020/TT-BTC (Ministry of Finance, dated November 16, 2020) guiding the disclosure of information on the securities market ("**Circular 96**");
- Decision No. 21/QD-SGDVN (Stock Exchange, dated December 21, 2021), promulgating the Regulation on Information Disclosure at the Stock Exchange and its subsidiaries, as amended and supplemented from time to time (the "**Information Disclosure Regulations of the Stock Exchange**");
- The Charter of Dien May Xanh Investment Joint Stock Company (the "**Company**") dated January 19, 2026 (the "**Charter**"); and
- Resolution of the Board of Directors of the Company No 02/NQ/HDQT-2026 dated January 19, 2026,

The Board of Directors of the Company hereby promulgates the Information Disclosure Regulations ("**Regulation**"). The Regulation consists of the following contents:

Article 1. Scope of application and applicable entities

This Regulation govern the performance of information disclosure obligations on the Vietnam securities market in accordance with the provisions of the Law on Securities, Circular 96, Information Disclosure Regulations of the Stock Exchange, and relevant legal provisions, applicable to the Company, all individuals and organizations having information disclosure obligations in relation to the Company, all internal persons of the Company and related persons of internal persons, related persons of the Company, major shareholders, affiliated units, individuals, transaction offices, representative offices, divisions, departments, sections, and all employees of the Company.

Article 2. Interpretation of terms

In this Regulation, the following terms shall be construed as follows:

- 2.1 **“Investors subject to information disclosure”** includes the subjects specified in Clause 3, Article 3 of Circular 96;
- 2.2 **“Internal person”** of the Company means an individual specified in Clause 45, Article 4 of the Law on Securities;
- 2.3 **“Related person”** means an individual or organization specified in Clause 46, Article 4 of the Law on Securities;
- 2.4 **“Date of information disclosure”** means the date the information published on any of the information disclosure media specified in Article 6.1 of this Regulation;
- 2.5 **“Reporting date”** means the date of sending via fax or email; or (ii) the date the information is received by the SSC or SE’s information disclosure system; or (iii) the date the SSC or SE receives the written report, whichever comes first.
- 2.6 **“Major shareholder”** means a shareholder owning 5% (five percent) or more of the voting shares of the Company; and
- 2.7 **“Transaction Completion Date”** is determined as follows:
 - a) the date on which payment is completed in case a transaction is conducted through SE;
 - b) the date on which payment is completed in case of exercise of purchase rights;
 - c) the date on which the conversion from bonds into shares as notified by the issuer is completed;
 - d) the effective date on which securities ownership at VSDC is transferred in case a transaction is conducted through VSDC;
 - e) the date on which payment for purchased shares as notified by the organization selling shares by auction in case transaction is made through auction is completed; or;
 - f) the date on which the issuer certifies the validity of securities transfer in case a transaction is conducted through neither VSDC nor SE.

Article 3. Principles of information disclosure

- 3.1 Information Disclosure must be complete, accurate, and timely in accordance with legal provisions. In case there is a change to the content of the disclosed information, the Company must timely and fully disclose the changed content and the reasons for the change

compared to the previously disclosed information in accordance with legal provisions.

- 3.2 The disclosure of personal information including: Citizen Identification Card, Identity Card, Military Identity Card, valid Passport, contact address, permanent address, phone number, fax number, email, securities trading account number, securities depository account number, bank account number, trading code of foreign investors, business entity of which over 50% of charter capital is held by foreign entities, shall be disclosed if agreed by that individual.
- 3.3 The subjects specified in Article 2 of Circular 96 (including the Company, Investors subject to information disclosure) when carrying out Information Disclosure shall simultaneously report to the SSC and the SE where such securities are listed or registered for trading regarding the contents of the disclosed information, including full information as prescribed. In case the disclosed information includes personal information specified in Article 3.1 of this Regulation and the subjects of information disclosure do not agree to publicize this information, they must send 02 (two) copies of documents to the SSC and SE, of which 01 (one) copy includes full personal information and 01 (one) copy does not include personal information for the SSC and SE to publicize the information.
- 3.4 An organization's information shall be disclosed by its legal representative or attorney-in-fact ("**Person In Charge Of Information Disclosure**"). The authorization to another person must be made in writing by the legal representative of the Company. The Information Disclosure of an individual is carried out by the individual themselves or authorized to another organization or individual to perform. Information shall be disclosed by persons in charge as prescribed in Article 6 of Circular 96.
- 3.5 Responsibilities of the Person In Charge Of Information Disclosure:
 - a) Person In Charge Of Information Disclosure is the focal point for gathering information and performing the Information Disclosure obligations of the Company as prescribed in this Regulation. The functions and duties of the Person In Charge Of Information Disclosure are as follows:
 - (i) Being honest, responsible, prudent, diligent, having knowledge of accounting, finance, and possessing certain IT skills;
 - (ii) Publicizing their name and office phone number so that shareholders of the Company can easily contact them;
 - (iii) Having sufficient time to perform their duties, especially contacting shareholders of the Company to record their opinions, periodically publishing responses to shareholders' opinions and matters of corporate governance as prescribed;
 - (iv) Being responsible for performing the Company's Information Disclosure obligations to the SSC, SE, investors, and parties with related interests in accordance with the law and the Charter; and
 - (v) Being responsible for urging the relevant departments of the Company to prepare the Company's annual reports to carry out Information Disclosure

as prescribed.

- b) In the event that, following an Information Disclosure, the Company receives feedback regarding the accuracy or completeness of the disclosed information, the Person in Charge of Information Disclosure shall be responsible for checking, verifying, and, where necessary, supplementing or correcting such information within 48 hours from the time of receipt of such feedback, and shall make a supplementary or corrective Information Disclosure in accordance with applicable regulations.

3.6 Responsibilities and relationship among departments in providing information to the Person In Charge Of Information Disclosure:

- a) Person In Charge Of Information Disclosure, within their functional scope, periodically urges the functional departments of the Company to provide information according to this Regulation. The heads of functional departments of the Company are responsible for the accuracy and timeliness of the information provided to the Person In Charge Of Information Disclosure. For extraordinary information or information provided upon request that must be disclosed under Article 9.2 and Article 9.3 of this Regulation, the functional departments of the Company (where the information to be provided arises) are responsible for proactively providing information to the Person In Charge Of Information Disclosure within 12 (twelve) hours from the occurrence of the event.
- b) Person In Charge Of Information Disclosure synthesizes the information and submits it to the legal representative of the Company for approval of the disclosed information content, time, medium, and task assignment before disclosing information according to regulations.
- c) Person In Charge Of Information Disclosure must supervise the Information Disclosure process and report the implementation results to the legal representative of the Company.

Article 4. Language of information disclosure on the securities market

- 4.1 The language of Information Disclosure on the securities market shall simultaneously be Vietnamese and English. Information disclosed in English must be consistent with the information disclosed in Vietnamese.
- 4.2 In case of discrepancies or different interpretations between the Vietnamese and English information, the Vietnamese information shall prevail.

Article 5. Requirements for reporting and information disclosure

- 5.1 Reporting and Information Disclosure must be carried out in accordance with the forms prescribed by competent authorities and sent in the manner prescribed from time to time; written reports must bear the duly authorized signature of the competent person authorized to sign the report.
- 5.2 Reporting and Information Disclosure must ensure truthfulness, completeness, accuracy, and timeliness.

- 5.3 Information Disclosure must be carried out simultaneously with reporting to the SSC and SE on the content of the disclosed information and must be carried out at the exact time on the Information Disclosure media specified in Article 6 of this Regulation.

Article 6. Media and forms of reporting and information disclosure

- 6.1 The media used by the Company for reporting and Information Disclosure include:
- a) The Company's official website;
 - b) The Information Disclosure system of the SSC;
 - c) The website of the SE, and other Information Disclosure media according to the regulations of the SE; and
 - d) Other mass media as prescribed by law (printed newspapers, electronic newspapers, etc.).
- 6.2 The Company shall maintain an official website and updates disclosed information according to regulations on the website as follows:
- a) The Company reports to the SSC and SE and publicizes the website address and any changes related to this address within 03 (three) working days from the date of completion of the website establishment or upon changes to this website address.
 - b) The website must contain information about business lines and information to be published on the National Business Registration Portal in accordance with the Enterprises Law and any changes thereof; have a separate page for relationship with shareholders (investors), on which the company's charter, information disclosure regulations, company administration regulations (if any), operation regulations of the Board of Directors, Board of Controllers (if any), prospectus (if any), information disclosed on periodic or extraordinary basis and on request, and other activities must be published in accordance with this Regulation; and
 - c) The website must display the information publishing time and ensure that investors can search and access data on the website.
- 6.3 In case the Information Disclosure obligation arises on a weekend or public holiday as prescribed by law, the Company shall carry out Information Disclosure on the Company's website and fully perform the Information Disclosure obligation in accordance with legal provisions on the working day following the day off or public holiday.
- 6.4 The Company is not required to send paper reports to the SSC and SE in case the documents have been disclosed on all reporting and disclosure media specified in Articles 6.1(a), (b), and (c) and ensure compliance with legal provisions on electronic documents.
- 6.5 The official website address of the Company for Information Disclosure:
<https://www.dmx.vn/>

Article 7. Provision of information to mass media

- 7.1 Responding to interviews:
- a) Providing information to mass media, responding to interviews, and matters related to the Company's operations must be performed by the Chairperson of the BOD, the General Director, or the Person In Charge Of Information Disclosure.
 - b) When receiving any request to provide information or respond to interviews related to the Company from press agencies, the receiver must immediately forward the request to the Investor Relations Department, and simultaneously report to the General Director of the Company before providing information or responding to the interview. When responding to an interview, it must be clearly stated that the answers are personal opinions.
 - c) When entering into business cooperation agreements, the Person in Charge of Information Disclosure or the General Director shall assess any impact of information to be disclosed on mass media on Company.
- 7.2 Posting information about articles related to the Company's business operations: information or articles about the Company may only be published on mass media or Company's website after approval by the General Director or the Person In Charge Of Information Disclosure.
- 7.3 Receiving and processing requests for information provision from investors or relevant agencies: Upon receiving a written request from an investor, the receiver shall forward the request to the Investor Relations Department for processing. Based on the content of the request and the actual situation, the Investor Relations Department shall request the provision of information or forward it to the competent departments for information provision.
- 7.4 Rectification of inaccurate or misleading information about the Company on the media:
- a) Upon detecting that media outlets are reporting false information about the Company, every employee is responsible for immediately notifying the Investor Relations Department, the General Director, the Person In Charge Of Information Disclosure, and the Chairperson of the BOD for timely handling.
 - b) The Investor Relations Department coordinates with competent departments and divisions to verify the authenticity of the information and proposes a plan to handle the false information to the General Director and the Chairperson of the BOD.
 - c) The Company proactively discloses corrective information and simultaneously requests the organization or individual who published the false information to correct the information and edit the false content.
 - d) The procedure for disclosing corrective information is applied similarly to the extraordinary Information Disclosure and must be disclosed within 24 (twenty-four) hours from the time of detecting the false information.

Article 8. Suspension of information disclosure

- 8.1 The Company may suspend their information disclosure in case of force majeure events such as natural disasters, fires, wars, epidemics, and other force majeure reasons. The

Company must report to the SSC and SE on the postponement of Information Disclosure immediately upon the occurrence of the event (clearly stating the reason for the Information Disclosure postponement), and simultaneously publicly announce the postponement of Information Disclosure.

- 8.2 Immediately after overcoming the force majeure situation, the Company is responsible for fully disclosing the information that was previously undisclosed in accordance with the law.

Article 9. Implementation of Information Disclosure

- 9.1 Periodic Information Disclosure: Carried out in accordance with Circular 96 or replacing amending documents (if any).
- 9.2 Extraordinary Information Disclosure: Carried out in accordance with Circular 96 and Information Disclosure Regulations of the SE or replacing amending documents (if any).
- 9.3 Information Disclosure upon request: Carried out in accordance with Circular 96 or replacing amending documents (if any).
- 9.4 Information Disclosure regarding other operations of the Company: Carried out in accordance with Circular 96 and Information Disclosure Regulations of the SE or replacing amending documents (if any).
- 9.5 Information Disclosure regarding public offering of corporate bonds: Carried out in accordance with Circular 96 or replacing amending documents (if any).
- 9.6 Information Disclosure regarding listing of corporate bonds: Carried out in accordance with Circular 96 and Information Disclosure Regulations of the SE or replacing amending documents (if any).
- 9.7 Information Disclosure by major shareholders, groups of related persons owning 5% or more of the voting shares of the Company, groups of related foreign investors owning 5% or more of the voting shares of the Company: Carried out in accordance with Circular 96 and Information Disclosure Regulations of the SE or replacing amending documents (if any).
- 9.8 Information Disclosure by internal persons of the Company and related persons of internal persons: Carried out in accordance with Circular 96 and Information Disclosure Regulations of the SE or replacing amending documents (if any).
- 9.9 Information Disclosure regarding public tender offers: Carried out in accordance with Circular 96 and Information Disclosure Regulations of the SE or replacing amending documents (if any).

Article 10. Organization of Information Disclosure

- 10.1 Principles regarding information the Company is obligated to disclose:
- a) Periodic Information Disclosure is carried out according to a process ensuring information is always disclosed promptly and in accordance with regulations.

- b) Extraordinary information regarding time but frequently arising in the course of the Company's operations is also handled according to a controllable process.
- c) Other extraordinary information and information disclosed upon request must have handling regulations from the receipt of information to the Information Disclosure.

10.2 Information the Company receives from subjects obligated to disclose:

- a) The Company compiles, stores information, and updates the list of organizations, individuals, and groups of persons owning 5% (five percent) or more of voting shares to monitor Information Disclosure obligations.
- b) The Company compiles and stores the list and information of internal persons and related persons of internal persons.
- c) The reception and processing of information from subjects obligated to disclose under Article 10.2(a) and (b) and other subjects, after which the Company executes Information Disclosure according to regulations, shall have a controllable process.

10.3 Information Disclosure Process:

- a) Receiving Information Disclosure requests: Periodic information has established timelines and is assigned to specific individuals and units responsible for processing the information. The reception of each type of extraordinary information and information required to be disclosed upon request must be specifically assigned to the respective receiving units. When information arrives, any individual or unit that receives it must promptly forward such information to the responsible receiving unit for further processing.
- b) Processing information: Information processing is the process of generating information for disclosure. Individuals and units assigned the responsibility of processing information have the right to request within the Company, as well as the Company's invested units or other related parties, to provide information to serve the processing of information, in accordance with legal provisions and the Company's regulations. Information, after being processed, must be approved by the responsible person.

The information must have the following format: for written documents, it must be an original with the Company's full seal and valid signature in accordance with the law. For electronic data files, the full name and title of the person signing the document and the date of the document must be clearly stated; additionally, PDF files must be scanned copies of the original documents bearing the Company's signature and seal (in red).

- c) Sending disclosed information: The Company implements this in accordance with Article 6 of this Regulation and the user manual of the electronic information disclosure system of the SE where it is obligated to disclose information. The Company posts the disclosed information on the Company's website under the "Investor Relations" section. If necessary, within the "Investor Relations" section, there are sub-sections for each specific type of information.

In case it is impossible to send the disclosed information via the electronic information disclosure system due to force majeure reasons such as network or server failures, inability to use digital certificates, or temporary suspension of operations requested by competent authorities, the Company is responsible for sending the written disclosed information by post or submitting it directly to the SE where it has the Information Disclosure obligation, while simultaneously sending the electronic data via email within the prescribed time limit, and immediately notifying the SE where it has the Information Disclosure obligation of the reason for the inability to send the disclosed information via the electronic information disclosure system so that the SE can provide guidance or fix the issue (if necessary).

Article 11. Preservation and archiving of Reporting and Information Disclosure information

- 11.1 Periodic disclosed information must be archived in written form (if any) and electronic data for a minimum of 10 (ten) years. Such information must be archived and accessible on the Company's website for a minimum of 05 (five) years.
- 11.2 Extraordinary disclosed information, information upon request, or regarding other activities must be archived and accessible on the Company's website for a minimum of 05 (five) years.
- 11.3 Emails used for sending information shall only be deleted when they are no longer required for record-keeping purposes.
- 11.4 Disclosed information is archived at the Company and the information processing department.
- 11.5 Information archiving must ensure the capability of quick access whenever needed.
- 11.6 Subjects of Information Disclosure (including the Company and Investors subject to information disclosure) are responsible for preserving and archiving disclosed information and reports in accordance with this Article 11.

Article 12. Implementation provisions

- 12.1 The Information Disclosure Regulations of Dien May Xanh Investment Joint Stock Company comprise 12 (twelve) articles and take effect from January 19, 2026.
- 12.2 This Regulation is disseminated to all departments, divisions, and employees of the Company. Any department or individual of the Company that violates the provisions of this Regulation or violates legal provisions causing damage to the Company related to the implementation and management of Information Disclosure activities shall, depending on the nature and severity of the violation, be subject to disciplinary action, administrative penalty, or may be subject to criminal liability; if damages are caused, compensation must be made in accordance with the law.
- 12.3 In case there are legal provisions relating to Information Disclosure obligations that have not been provided for in this Regulation, or new legal provisions are issued that differ from this Regulation, or new legal documents replace the legal documents referenced in this Regulation, the Information Disclosure obligations stated in such legal provisions must be complied with.

12.4 The amendment, supplementation, and updating of this Regulation shall be implemented according to the decision of the BOD.

**ON BEHALF OF THE BOARD OF
DIRECTORS
CHAIRMAN**

(Signed and sealed)

NGUYEN DUC TAI